



Attorney's Docket No.: C1041/7016 (AWS)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Bauer, et al.
Serial No: 09/954,987
Filed: September 17, 2001
For: PROCESS FOR HIGH THROUGHPUT SCREENING OF CPG-BASED
IMMUNO-AGONIST/ANTAGONIST
Examiner: Not Yet Assigned
Art Unit: 1645

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to Box Missing Parts, Commissioner for Patents, Washington, D.C. 20231 on January 16, 2002.

Yvonne M. Lingo
Yvonne M. Lingo

BOX MISSING PARTS
Commissioner for Patents
Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS OF NON-PROVISIONAL APPLICATION

Sir:

Transmitted herewith are the following documents:

- [X] Preliminary Amendment
- [X] Fee Calculation Sheet (x2)
- [X] Declarations for Patent Application (3)
- [X] Original Sequence Listing
- [X] Computer-Readable Form of Sequence Listing
- [X] Statement pursuant to 37 CFR 1.821
- [X] Copy of Notice to File Missing Parts
- [X] Assignments (3) with Recordation Form Cover Sheet (1)
- [X] Check in the amount of \$1,762.00
- [X] Return Receipt Postcard
- [X] Certificate of Mailing under 37 CFR. §1.8(a)

COPY OF PAPERS
ORIGINALLY FILED

If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned at (617) 720-3500, Boston, Massachusetts.

If the enclosed check is determined to be insufficient, the balance may be charged to the account of the undersigned, Deposit Account No. 23/2825. A duplicate of this sheet is enclosed.

Respectfully Submitted,

Alan W. Steele

Alan W. Steele, Reg. No.: 45,128
WOLF, GREENFIELD & SACKS, P.C.
600 Atlantic Avenue
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(617)720-3500

Attorney's Docket No.: C1041/7016 (AWS)
Date: January 16, 2002
xNDD

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ORIGINALLY FILED

Attorney Docket No. C1041/7016 (AWS)

Inventor(s): Bauer, et al.

Serial No: 09/954,987

Filed: September 17, 2001

CHECK BOX, if applicable:

For: PROCESS FOR HIGH THROUGHPUT SCREENING OF CPG-BASED
IMMUNO-AGONIST/ANTAGONIST

☒ **DUPLICATE**

Fee Calculation Sheet

CLAIMS	FOR	NUMBER FILED	NUMBER EXTRA	RATE	FEE
	TOTAL CLAIMS (37 CFR 1.16(c))	30-20=	10x	\$ 18	= \$ 180.00
	INDEPENDENT CLAIMS (37 CFR 1.16(b))	11-3=	8x	\$ 84	= \$ 672.00
	MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.16(d)) +			\$	= \$
				BASIC FEE (37 CFR 1.16(a))	\$ 740.00
	Fee for Petition for Extension of Time (if any)				\$
			Total of above Calculations =		\$ 1592.00
	Reduction by 50% for filing by small entity (Note 37 CFR 1.9, 1.27, 1.28).				\$
	Assignment Recordation Fee (if any)				\$ 40.00
	Other Fees (if any).				\$ 130.00
	TOTAL =				\$ 1762.00

1. If no check is enclosed, please charge the amount of \$1,762.00 to Deposit Account 23/2825.

General Authorization to Charge Deposit Account and General Request for Extension of Time

2. a. ☒ If the filing of any paper in this application necessitates the payment of a fee under 37 CFR §§ ☒ 1.16 ☒ 1.17 or ☐ 1.18, and the fee due is in an amount different from any enclosed check or if no check is enclosed, the Commissioner is hereby authorized to charge any deficiency or credit any overpayment to Deposit Account No. 23/2825.
- b. ☐ The applicant hereby revokes any prior authorization to charge a fee due under 37 CFR §§ ☐ 1.16 ☐ 1.17 or ☐ 1.18.
3. If the filing of any paper in this application necessitates an extension of time under 37 CFR §1.136(a), the applicant hereby requests such extension of time. If the fee due is in an amount different from any enclosed check or if no check is enclosed, the Commissioner is hereby authorized to charge any deficiency or credit any overpayment to Deposit Account No. 23/2825.



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Attorneys of Record

Docket No. C1041/7016 (AWS)
Date: January 16, 2002



#6

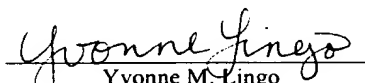
Attorney's Docket No. C1041/7016 (AWS)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Bauer et al.
Serial No. : 09/954,987
Filing Date : September 17, 2001
For : PROCESS FOR HIGH THROUGHPUT SCREENING OF CpG-BASED IMMUNO-AGONIST/ANTAGONIST
Art Group : 1645

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to Box Missing Parts, Commissioner for Patents, Washington, D.C. 20231, on the 16th day of January, 2002.


Yvonne M. Lingo

Box Missing Parts
Commissioner for Patents
Washington, D.C. 20231

STATEMENT PURSUANT TO 37 C.F.R. § 1.821(f)

This statement is made pursuant to 37 C.F.R. § 1.821(f). Applicants enclose herewith an original paper copy of the Sequence Listing and an original computer readable form (CRF) copy of the Sequence Listing in the above-referenced Application. Applicants' attorney states that the content of the sequence listing information recorded in computer readable form is identical to that of the paper copy of the Sequence Listing.

Respectfully submitted,



Alan W. Steele
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600 Atlantic Avenue
Boston, Massachusetts 02210
Tel. (617) 720-3500

ATTORNEY DOCKET NO. C1041/7016 (AWS)
Date: January 16, 2002
X: January 16, 2002



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/954,987	09/17/2001	Bauer	C1041/7016

CONFIRMATION NO. 7680

FORMALITIES LETTER



OC000000007083661

WOLF GREENFIELD & SACKS, PC
FEDERAL RESERVE PLAZA
600 ATLANTIC AVENUE
BOSTON, MA 02210-2211

Date Mailed: 11/16/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$2702.
 - **\$1872** for **104** total claims over 20.
 - **\$560** for **7** independent claims over 3 .
 - **\$270** for multiple dependent claim surcharge.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 3542.**
- This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant

desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

- **For Rules Interpretation, call (703) 308-4216**
- **To Purchase PatentIn Software, call (703) 306-2600**
- **For PatentIn Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov**

*A copy of this notice **MUST** be returned with the reply.*



Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE